
LUDWIG VAN BEETHOVEN

PIANO CONCERTO No. 5

E^b major/E-S-Dur/Mi^b majeur

Op. 73

"Emperor"

Edited by/Herausgegeben

Paul Badura-Skoda / Paul Badura-Skoda

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THE LATE WORKS
OF LUDWIG VAN BEETHOVEN

Title and
Opus number

First performance/
Uraufführung

First edition/
Erstausgabe
(as orch. Parts)

Dedication/
Widmung

Opus	Title and Opus number	First performance/ Uraufführung	First edition/ Erstausgabe (as orch. Parts)	Dedication/ Widmung
Op.19	Piano Concerto No.1, B	29 March 1795 Vienna	Leipzig, 1801	Carl Nicklas von Nickelsberg
Op.15	Piano Concerto No.1, C	2 April 1800 Vienna	Vienna, 1801	Fürst Barbara Odetschki (geb. Gräfin von Keglevics)
Op.37	Piano Concerto No.3, C	2 April 1803 Vienna	Vienna, 1804	Fürst Louis Ferdinand von Preußen
Op.56	Triple Concerto, Pfie., Vcl., Vn., Orch.	1804	Vienna, 1807	Fürst Franz Joseph von Lobkowitz
Op.58	Piano Concerto No.4, G	1805-07	Vienna, 1808	Erzherzog Rudolph von Österreich
Op.61	Violin Concerto, D	1806	Vienna, 1808 (1810)	Stephan von Breuning
Op.73	Piano Concerto No.5, E ("Emperor")	1809	Vienna, 1810	Erzherzog Rudolph von Österreich

(List excludes fragments, incomplete works, and satiric works and titled "Concerto" (but are
stücke, die nicht mit "Konzert" betitelt sind.)
ke oder solistische

PREFACE

Beethoven composed the E flat major concerto in 1809. It was published in Leipzig in February 1811 by Breitkopf & Härtel, who also produced an improved titled edition during the same year. In November 1810, however, the firm of Clementi & Co had already published this concerto in London before the publication of the first German edition. The work is dedicated to the Austrian Archduke Rudolph who was Beethoven's pupil, friend and patron.

The premiere took place at the seventh of the Leipzig Gewandhaus Concerts on 28 November 1811 conducted by Johann Philipp Schulz (1772–1827) with Friedrich Schneider (1790–1857) as soloist and given an enthusiastic review by the music critic Friedrich Rochlitz (1789–1854) in the *Allgemeine musikalische Zeitung*. The first performance in Vienna was on 21 December 1812 by Beethoven's pupil Carl Czerny (1791–1857), whose valuable reminiscences of the performance were published in his *Recollections of a Piano Tutor*, Op. 500. The first performance in Neapolitan capital Naples was given on 12 January 1813 by the English pianist George Smart (1787–1861). The first performance in Paris was given in 1814 by the French pianist Jean-Baptiste Mayeux (1785–1858). The first performance in Berlin was given in 1815 by the German pianist Carl Maria von Weber (1786–1826).

It is interesting to note that the originally intended title 'Emperor Concerto' was only invented by Johann Baptist Cramer (1771–1858), probably appeared in 1815, during the 1840s, after Beethoven's death.¹ It has nothing to do with an emperor, let alone with Napoleon, of whose high-handed domination Beethoven disapproved so strongly that he withdrew the dedication from the 'Eroica' Symphony. Rather, the title refers to the generous conception, the majestic 'im-

perial' gesture, particularly in the first movement, and the triumphal character of both outer movements to which the famous and deeply emotional middle section forms a strong contrast. As critics have often pointed out, the soloist's virtuosity and tender pianissimo playing are contrasted with the dense, noisy orchestra, and the B major last movement is also anticipated, although it is in the guise of a march.

It is remarkable that the ending of the Adagio, that is, the famous crescendo 'coup de théâtre', still has a startling effect. The title 'Emperor Concerto' may have been an invention of the 1840s, but it may also have been an invention of the 1810s. Aggriff may have been an invention of the 1810s, but it may also have been an invention of the 1840s. Here, and in earlier heroic works like the First, Third and Fifth Symphonies, the 'battle' concept appears in a sublimated form. While soloist and orchestra confront each other more than once in 'fighting spirit', this contrast is overcome and resolved in a sphere of exalted harmony.

The historical aspect of Beethoven's concertos is summarized thus by Basil Deane:

Beethoven's contribution to the concerto was of outstanding importance. He started with the Mozartian concept of co-operative interplay between soloist and orchestra in the thematic presentation, adapted it to his own particular kind of dramatic symphonic expression, and finally made of the concerto a vehicle for extreme virtuosity, without in any way detracting from its musical content or lessening the importance of the orchestra. He arrived at a more open conception of the first movement, with early participation of the soloist. He sought, and found, alternatives to the 'set-form' slow movement. He related his movements to each other, not only by linking passages between

¹ Leipzig, XIX, 8.

² Carl Czerny, *Vollständige theoretisch praktische Pianoforte-Schule*, Op. 500, Part 4: *Die Kunst des Vortrags* (Wien, 1842), 114–116; (London: Cock, 1845), 112–114.

movements but also by interrelated tonal events. He left a legacy which influenced profoundly, both for good and bad, his 19th-century successors.³

The present edition of the Piano Concerto in E flat major represents a first attempt since Beethoven's times at re-establishing the original text (Urtext) according to Beethoven's intentions. Why, one may ask, were so many mistakes in the original edition, as well as in later printed scores based on this edition? The answer reveals an interesting aspect of the relations between Beethoven and his publishers.

During composition of the work the relations between Beethoven and Breitkopf & Härtel in Leipzig (who were publishing his major works at the time) were good, even friendly. Their collaboration took the following form: Beethoven checked the professionally prepared MS copies of his works and then sent them to Leipzig, keeping the autographs in Vienna. In spite of Beethoven's repeated requests the publisher never let him have proofs and paid him off rather quickly after they had been sent. The publisher's own proof-readers, inevitably this procedure led to editions of the original editions that were far from accurate. Beethoven wrote to Breitkopf & Härtel:

Meanwhile I send you the score of the [Fifth] Concerto [regarding the proofs] I have written to you, and you will do well to have it corrected by your proof-reader, as he can be sure to do a better job than I am. [In my experience, the proofs are always written in my own handwriting, which is very suspect in the engravings. Proof-readers will also bear a number of mistakes in the proofs, because, even if it is looked over carefully, he will not doubt overlook mistakes.] (Beethoven, 2009)

[...] regarding the very beautiful edition, I have to reproach you most emphatically: why is it not free from mistakes??? Why not first a copy for checking for which I have asked repeatedly? Mistakes tend to

creep into every copy but they can be corrected by any competent proof-reader [...] I am somehow rather angry about that. (2 November 1809)

I have also found the following mistake in the C minor Symphony, in the third movement in 3/4 time when the major key returns to the minor key: appears in the bass part as follows:



The two measures on the right are wrong and must be corrected. The mistake is the same which here too, C major has been printed.

Beethoven was not the only one to print the same mistake in his scores, asking whether the publisher had also made a third movement of the C minor Symphony to be eliminated. Perhaps the publisher thought it was too long, and therefore the movement was cut short. Beethoven had expressly demanded that the publisher correct the score to superfluous and unnecessary parts in the Fifth Symphony which are still played today. They were indeed removed in the edition of the parts, printed 15 minutes earlier, and were included, in spite of Beethoven's two letters, in the score which Breitkopf & Härtel published in 1826. The definitive correction was finally made by Mendelssohn on the occasion of the Lower Rhine Musical Festival in Aachen, 1846.⁴

In the [Fifth] Piano K [Concerto] there are rather a lot of mistakes. (c. 2 May 1811)

Mistakes – mistakes! You yourself are nothing but one big mistake! I shall have to send you my copyist, I must come myself, if I don't intend my works – published just as a mass of mistakes. The music tribunal in Leipzig does not apparently produce one single decent proof-reader, and you send the works away even before you have received the corrected proof.⁵ (6 May 1811)

³ See A.W. Thayer, *Ludwig van Beethoven's Leben*, rev. E. Forbes as *Thayer's Life of Beethoven* (Princeton, 1964), Vol. 1, 500f.

⁴ A possible explanation for this seemingly inexplicable behaviour of the publisher could be due to the postal conditions prevalent at the time; the sending and returning of proof material would have resulted in a delay of two months in the publication of a work by Beethoven. Fur-

Beethoven's list of corrections for the Fifth Piano Concerto was rediscovered in 1983 at auction by Sotheby's, London. This also explains why Breitkopf & Härtel published a new edition relatively quickly. While it is not substantially different in appearance (title-page, for instance), there are numerous corrections in the piano part which were evidently made directly onto the plates.⁶ That only Beethoven could have made these corrections is evident from the fact that many of those in the new edition were based on the autograph score.

Of particular interest are corrections in this new edition at places where even the autograph has mistakes or inaccuracies. For instance, in the first movement, b371, Beethoven had forgotten to add in the score the sign for lifting the pedal, as well as the ottava sign in b465 where it is musically necessary.

For quite some time Beethoven was unable to make up his mind regarding the tempo indication for the third movement. In the autograph score bears the indication 'Andante' followed by the words 'molto animato' written in pencil. This would explain why the tempo indication in the first edition of the concerto follows Beethoven's first suggestion, while the 'molto animato' is more modestly indicated. Beethoven's original tempo marking 'Adagio ma non troppo' is also present in the autograph. Since Beethoven wrote good deal of music between the two piano staves, the 'molto animato' was often printed erroneously as 'molto animato'. This also happened in the new edition (see b371 and b465). The *p* in the third movement of many editions, bb402 and 404, is not shown

furthermore. Breitkopf had to fear pirate editions, caused by indiscretion in Vienna, and that would have damaged him considerably.

⁶ Piano concertos and symphonies were, at that time, usually published in sets of parts; printed full scores were rare exceptions.

in any of the sources and does not make sense. The varied tutti, bb398–402, demands a *f* followed by a *diminuendo*.)

A comparison between the first and second editions of the original publication seems to suggest that Beethoven corrected only the piano part. This is a reasonably assumption since it considers that correcting individual parts is a very laborious, time-consuming task and that Beethoven probably did not do this at all. The correct reproduction of the piano part is of course important, however. Thus it became clear that the piano part in the present edition is not entirely correct. Although Wilhelm Furtwängler made a few errors in his edition, he nevertheless substituted many mistakes in the piano part which he described in his Critical Edition as 'incorrect versions' of the piano part in the original edition. These documents caused by Beethoven's desire to adapt his music to contemporary pianos are now called 'Furtwängler's *Adagios*'. That even Furtwängler's edition is not entirely correct is proved by the following example from the first movement. In this passage Beethoven had originally taken the octave bass notes in the bassoon and cello to the high g^{'''} but then crossed out two of the high notes, presumably either by necessity than by virtue.

In the present edition the piano part is printed also in the tutti passages, since this is the way in which it appears in both the autograph and the German first edition. Beethoven clearly differentiates between the large notes, and the small cue notes which indicate the entries of the various instruments. This kind of notation served as a substitute for a full score at a time when the publication of such scores, or versions for two pianos, had not become common practice. Since these notes in small print were surely not meant to be played, they are not reproduced in the present edition. With regard to the bass notes in large print, however, it can be assumed that Beethoven intended, at least in this concerto, that the piano should participate in the tutti passages by playing a kind of continuo part similar to those in Mozart's piano concertos. The following points are in support of this assumption:

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1. The bass (printed large) of the piano in the tutti passages follows the bass of the strings except when the bass line is passed on to the viola or a wind instrument; in this case it is printed in small notes.
2. The very careful figuring of the bass would hardly make sense if it were not intended as an indication actually to play with the orchestra; this is particularly evident in the numerous 'tasto solo' directions which are known to mean that only the bass line, and not the chords, are to be played.

Nevertheless, there is as yet no definitive answer to the question whether a figured bass was, in Beethoven's time, still realized in the tutti passages of piano concertos. The fact that, during this period, a transition towards the modern practice – a silent solo part in the tutti – had begun, is borne out by the first English edition, which appeared before the original German

edition of the concerto. There, too, the bass notes are in bold print, and the cue notes are small. However, the figuring of the bass is omitted.⁷

Finally, we wish to extend our sincere thanks to the Staatsbibliothek zu Berlin – Preußischer Kulturbesitz, formerly the Deutsche Staatsbibliothek, for allowing the reproduction of Beethoven's autograph Ms. 141. The microfilm available can be consulted with the assistance of the Musik-Abteilung of the Deutsche Staatsbibliothek, Berlin. We also thank the Musikwissenschaftliche Abteilung of the Universitätsbibliothek Bonn, where the autograph of the *Concerto* is held.

Special thanks are due to Dr. Akira Imai and Dr. Stefan de Haan.

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⁷ For a detailed discussion of performance practice for figured bass in Beethoven's piano concertos cf. 'Beethoven's Basso Continuo: Notation and Performance' in Robin Stowell ed., *Performing Beethoven*, Cambridge Studies in Performance Practice (Cambridge, 1994). (Review by Paul and Eva Badura-Skoda in *Performance Practice Review*, Vol. 10, No. 2, Madison Wisconsin, 1977.)